

# **EXHIBIT A**

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	3
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**Complete if Known**

Application Number	10/565,484
Filing Date	January 17, 2006
First Named Inventor	CHEUNG, Nai-Kong V.
Art Unit	1623
Examiner Name	Eric Olson
Attorney Docket Number	639-C-PCT-US

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date  
Considered

\* Examiner: Include if Reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Initial copy of this form with next communication to applicant. \* Applicant's unique citation designation number (optional). \* See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \* Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \* Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \* Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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## Complete if Known

Sheet 2 of 3

Application Number	10/565,484
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First Named Inventor	CHEUNG, Nai-Kong V.
Art Unit	1623
Examiner Name	Eric Olson
Attorney Docket Number	639-C-PCT-US

## OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	8	Australian Office Action, June 12, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Australian Application No. 2008207369, Filed August 18, 2008, continuation-in-part of PCT/US07/01427	
	9	Canadian Office Action, March 26, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Canadian Application No. 2,434,938, Filed July 15, 2003, National Stage of PCT/US02/01276	
	10	Chinese Office Action, July 24, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Chinese Application No. 200480020356.6 (Atty. Dkt. #639-C-PCT-CN), Filed January 16, 2006, National Stage of PCT/US04/23099	
	11	Chinese Office Action, February 27, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Chinese Application No. 200480020356.6, Filed January 16, 2006, National Stage of PCT/US04/23099	
	12	European Office Action, March 30, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, European Application No. 02 707 502.7, Filed August 4, 2003, National Stage of PCT/US02/01276	
	13	Mexican Office Action, May 29, 2009, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Mexican Application No. PA/a/2006/000615 (Atty. Dkt. #639-C-PCT-MX), Filed July 16, 2004, National Stage of PCT/US04/23099	
	14	U.S. Advisory Action, August 6, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 12/036,462, Filed February 25, 2008	
	15	U.S. Advisory Action, July 10, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 12/036,462, Filed February 25, 2008	
	16	U.S. Office Action, June 2, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 11/334,763, Filed January 17, 2006	
	17	U.S. Office Action, April 17, 2009, for Nai-Kong V. CHEUNG, U.S. Serial No. 12/036,462, Filed February 25, 2008	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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